# **Seminvariants for Centrosymmetric Space Groups with Conventional Centered Cells**

BY H. HAUPTMAN AND J. KARLE

*U.S. Naval Research Laboratory, Washington* 25, *D.C., U.S.A.* 

### *(Received 8 August* 1958)

The nature of the dependence of phase on the choice of origin is clarified for those centrosymmetric space groups, for which the conventional unit cell is not primitive, by means of special linear combinations of the phases, the structure seminvariants. The theory leads to simple procedures for selecting the origin by first fixing the functional form for the structure factor and then specifying arbitrarily the values of a suitable set of phases.

## 1. **Introduction**

The development of formulas for the determination of the values of phases directly from observed intensities makes necessary a study of the relationship of phase to the specification of origin. This problem has already been treated for those centrosymmetric and

Table 1. *Coordinates for centered centrosymmetric space groups referred to a primitive unit cell* 





Table 1 *(cont.)* 

non-centrosymmetric space groups for which the conventional unit cell is primitive (Hauptman & Karle, 1953, 1956). It was seen there that certain linear combinations of the phases, called the structure seminvariants, played a central role. The seminvariants show which phases are uniquely determined by the intensities alone and which are to be specified in order to fix the origin.

In this paper we are concerned with those centresymmetric space groups for which the conventional unit cell is non-primitive. The treatment here involves the use of well-known transformations to replace the conventional centered cell by an appropriate primitive unit cell. The methods referred to above are then immediately applicable. If desired, the final results may be readily expressed in terms of the conventional cell by means of appropriate transformations.

## **2. Primitive unit cells**

The coordinates representing the space group relative to a primitive unit cell are obtained from those corresponding to a non-primitive unit cell *(International Tables,* 1952) by means of the following matrices:

$$
C \to P, \quad \begin{pmatrix} 1 & 1 & 0 \\ 1 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix}, \tag{2-1}
$$

$$
I \to P, \quad \begin{pmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{pmatrix}, \tag{2-2}
$$

and

$$
F \to P, \quad \begin{pmatrix} -1 & 1 & 1 \\ 1 & -1 & 1 \\ 1 & 1 & -1 \end{pmatrix}.
$$
 (2-3)

The results are shown in Table 1. For some of the space groups the origin has been shifted to exhibit the relationship between group and subgroup.

## 3. **Equivalence**

For the centrosymmetric space groups, the permissible origins are the eight centers of symmetry in the primitive unit cell. However, not all of these need be equivalent, since different centers of symmetry may be situated differently with respect to the symmetry elements. The non-equivalent origins are associated with different functional forms for the structure

Category	$\boldsymbol{2}$		$\bf{3}$		$\overline{\mathbf{4}}$
No. of equivalence classes	$\overline{2}$		$\overline{\mathbf{4}}$		8
Type	2P	$2P_1$	$3P_2$	$3P_3$	4P
Space groups	C2/m C2/c Cmcm C <sub>mca</sub> Cmmm Cccm Cmma Ccca	<b>Immm</b> <b>Ibam</b> Ibca Imma	Fmmm Fddd Fm3 Fd3 Fm3m Fm3c Fd3m Fd3c	I4/m $I4_1/a$ I4/mmm I4/mcm $I4$ -/amd $I\overline{4_1}/acd$	Im3 Ia3 Im3m Ia3d
Equivalence classes of origins	0, 0, 0 $0, 0, \frac{1}{2}$ $\frac{1}{2}, \frac{1}{2}, 0$ $\frac{1}{2}, \frac{1}{2}, \frac{1}{2}$ $\frac{1}{2}$ , 0, 0 $\frac{1}{2}$ , 0, $\frac{1}{2}$ $0, \frac{1}{2}, 0$ $0, \frac{1}{2}, \frac{1}{2}$	0, 0, 0 $\frac{1}{2}, \frac{1}{2}, 0$ $\frac{1}{2}$ , 0, $\frac{1}{2}$ $0, \frac{1}{2}, \frac{1}{2}$ $\frac{1}{2}$ , 0, 0 $0, \frac{1}{2}, 0$ $0, 0, \frac{1}{2}$ $\frac{1}{2}, \frac{1}{2}, \frac{1}{2}$	0, 0, 0 $\frac{1}{2}$ , $\frac{1}{2}$ , $\frac{1}{2}$ $\frac{1}{2}$ , 0, 0 $0, \frac{1}{2}, \frac{1}{2}$ $0, \frac{1}{2}, 0$ $\frac{1}{2}$ , 0, $\frac{1}{2}$ $0, 0, \frac{1}{2}$ $\frac{1}{2}, \frac{1}{2}, 0$	0, 0, 0 $\frac{1}{2}, \frac{1}{2}, 0$ $\frac{1}{2}$ , 0, 0 $0, \frac{1}{2}, 0$ $0, 0, \frac{1}{2}$ $\frac{1}{2}, \frac{1}{2}, \frac{1}{2}$ $\frac{1}{2}$ , 0, $\frac{1}{2}$ $0, \frac{1}{2}, \frac{1}{2}$	0, 0, 0 $\frac{1}{2}$ , 0, 0 $0, \frac{1}{2}, 0$ $0, 0, \frac{1}{2}$ $\frac{1}{2}, \frac{1}{2}, 0$ $\frac{1}{2}$ , 0, $\frac{1}{2}$ $0, \frac{1}{2}, \frac{1}{2}$ $\frac{1}{2}$ , $\frac{1}{2}$ , $\frac{1}{2}$
Seminvariant vector, $\mathbf{h}_s$ , referred to primitive cell	$(h+k, l)$	$(h+k, k+l, l+h)$	$(h+k+l)$	$(h+k)$	(h, k, l)
Seminvariant modulus, $\omega_s$	(2, 2)	(2, 2, 2)	(2)	(2)	(1, 1, 1)
No. of phases linearly semi-independent to be specified arbitrarily	$\boldsymbol{2}$	$\overline{2}$	1	1	$\bf{0}$

Table 2. *Equivalence classes, seminvariant vectors and seminvariant moduli for the centered centrosymmetric space groups, referred to a primitive unit cell* 

factors. This leads logically to the concept of equivalence. Two origins are said to be equivalent if they are geometrically related in the same way to all the symmetry elements or, alternatively, if they lead to the same functional form for the structure factor. In this way the set of eight permissible origins is divided into one or more equivalence classes; two origins in the same class being equivalent, while no two origins taken from different classes are equivalent. According as the number of equivalence classes is one, two, four or eight, the corresponding space group is said to be in category one, two, three or four. The various categories may be further subdivided as to type depending upon the nature of the equivalence  $\epsilon$  classes (Table 2).

## **4YSeminvariance**

Once a functional form for the structure factor has been chosen, i.e. a particular equivalence class has been selected, then the values of certain linear combinations of the phases are determined by the crystal structure, or, for centrosymmetric structures, by the

observed intensities (independently of the choice of origin within the particular equivalence class). These linear combinations of the phases are called the structure seminvariants (Hauptman & Karle, 1953, 1956). The aims of this paper are attained with the identification of the structure seminvariants for each space group. To this end it is convenient to define the seminvariant vector and seminvariant modulus associated with the vector  $h = (h, k, l)$ , as indicated in rows 6 and 7 of Table 2; and to develop the concept of linear dependence and independence.

#### **5. Linear dependence and independence**

#### 5.1. *Vectors*

In order to develop the concepts of linear dependence and independence we proceed as previously, (Hauptman & Karle, 1956), and consider vectors  $h =$  $(h_1, h_2, \ldots, h_p)$  and  $\omega = (\omega_1, \omega_2, \ldots, \omega_p)$ , where the  $h_i$ 's and  $\omega_i$ 's are integers. In this paper the  $\omega_i$ 's are restricted to the values 1 and 2. The vector h is said to be divisible by the vector  $\omega$  if each  $h_i$  is devisible by  $\omega_i$ . In this case we write

$$
\mathbf{h} \equiv 0 \pmod{\omega} \, . \tag{5·1·1}
$$

Two vectors  $h_1$  and  $h_2$  are congruent modulo  $\omega$  if the difference  $h_1-h_2$  is divisible by  $\omega$ ; and the notation

$$
\mathbf{h}_1 \equiv \mathbf{h}_2 \; (\text{mod } \omega) \tag{5.1.2}
$$

A set of *n* vectors  $h_j$ ,  $j = 1, 2, ..., n$ ,  $(n \ge 1)$ , is said to be linearly dependent modulo  $\omega$  if there exists a set of *n* integers  $a_j$ ,  $j = 1, 2, ..., n$ , at least one of which is odd such that

$$
\sum_{j=1}^{n} a_j \mathbf{h}_j \equiv 0 \pmod{\omega} . \qquad (5.1.3)
$$

If each  $\omega_i$  is equal to 2, this definition is included in the one previously given (1956). If each  $\omega_i$  is equal to 1, this definition supplements the previous one (1956) which is no longer applicable. We note that if each  $\omega_i$  is equal to unity, then every set of vectors is linearly dependent modulo  $\omega$ . If the set  $\mathbf{h}_i$  is not linearly dependent modulo  $\omega$ , it is said to be linearly independent modulo  $\omega$ .

The vector **h** is linearly dependent modulo  $\omega$  on, or linearly independent modulo  $\omega$  of, the set  $h_j$ ,  $j = 1, 2, \ldots, n(n \geq 1)$ , according as there exist or do not exist *n* integers  $a_j$ ,  $j = 1, 2, ..., n$ , some or all of which may be zero, such that

$$
\mathbf{h} = \sum_{j=1}^{n} a_j \mathbf{h}_j \; (\text{mod } \omega) \; . \tag{5.1.4}
$$

If every  $\omega_i$  is equal to unity, then any vector is evidently linearly dependent modulo  $\omega$  on any set of vectors. If every  $\omega_i$  is equal to two, then any vector h, each of whose components is even, is linearly dependent modulo  $\omega$  on any set of vectors since each  $a_j$  in (5.1.4) may be chosen to be zero.

#### 5-2. *Phases*

The seminvariant vector  $h_s$  and the seminvariant modulus  $\omega_s$  associated with the vector  $\mathbf{h} = (h, k, l)$ , or, alternatively, with the phase  $\varphi_h$ , have already been defined (Table 2). We use these to define the concept of linear semi-dependence and semi-independence of phases.

For each of the types described in Table 2, a set of phases  $\varphi_{\mathbf{h}_i}$  is said to be linearly semi-dependent or semi-independent according as the set of seminvariantly associated vectors is linearly dependent or independent modulo  $\omega_s$ , where  $\omega_s$  is the seminvariant modulus of the type.

The phase  $\varphi_h$  is linearly semi-dependent on, or linearly semi-independent of, the set of phases  $\varphi_{\mathbf{h}_i}$ according as the vector seminvariantly associated with  $\varphi_h$  is linearly dependent modulo  $\omega_s$  on, or linearly independent modulo  $\omega_s$  of, the set of vectors seminvariantly associated with the set  $\varphi_{h_i}$ .

As already noted, for each fixed functional form of a structure factor, the observed intensities determine the values of all structure seminvariants. The identity of the seminvariants is given by the following:

Theorem 1. For each type, the structure seminvariants are the linear combinations

$$
\sum_{\mathbf{h}} A_{\mathbf{h}} \varphi_{\mathbf{h}} , \qquad (5.2.1)
$$

where the  $A_h$  are integers satisfying

$$
\sum_{\mathbf{h}} A_{\mathbf{h}} \mathbf{h}_s \equiv 0 \ (\text{mod } \omega_s) \ , \tag{5.2.2}
$$

 $h_s$  is the vector seminvariantly associated with the phase  $\varphi_h$ ,  $\omega_s$  is the seminvariant modulus of the type, and the symbol  $\dot{\mathbf{\Sigma}}$  in (5.2.1) means that the sum in (5.2.1) is to be reduced modulo  $2\pi$  and  $-\pi < \sum_{\mathbf{h}} \leq \pi$ .

The proof of this theorem, based upon an analysis of the equivalence classes shown in Table 2, follows the same lines as that given in Monograph I (1953).

#### **6. Specification of origin**

In the determination of phase by some direct procedure, it is presumed, of course, that a sufficiently large number of intensities have been observed to determine the structure uniquely. It is also necessary to choose one of the possible functional forms of the structure factor, e.g. by means of the coordinates listed in Table 1. This corresponds to selecting one of the possible equivalence classes listed in Table 2. Only when this is done will the values of the seminvariants be uniquely determined by the observed intensities. It is therefore to be expected that fixing the functional form of the structure factor is an integral part of any direct procedure for determining the values of phases from measured intensities.

### 6.1. *Type 2P*

The phases which are the structure seminvariants, hence uniquely determined by the magnitudes of the structure factors alone, independent of the choice of origin, are of the form  $\varphi_{ggg}$  and  $\varphi_{uug}$  (g means even and u means odd). The value of any phase,  $\varphi_1$ , not of this form, may be specified arbitrarily, i.e. either 0 or  $\pi$ . Once this is done, the values of all phases, linearly semi-dependent on  $\varphi_1$ , are uniquely determined. The value of any phase,  $\varphi_2$ , linearly semi-independent of  $\varphi_1$  may be specified arbitrarily. In this way the origin is fixed and the value of any remaining phase  $\varphi$  is determined. This is a consequence of Theorem 1, since  $\varphi$  is linearly semi-dependent on the pair  $\varphi_1$  and  $\varphi_2$ , whence there exist integers  $A_1$  and  $A_2$  such that

$$
\varphi + A_1 \varphi_1 + A_2 \varphi_2 \tag{6.1.1}
$$

is a structure seminvariant and therefore determined by the intensities.

As an illustration of the specification of origin,

is used.

#### 6.2. *Type 2P 1*

The first paragraph of 6.1 carries over verbatim for Type  $2P_1$  with the single exception that the seminvariant phases are now of the form  $\varphi_{ggg}$  and  $\varphi_{uuu}$ .

As an illustration of the specification of origin,  $\varphi_1$  may be chosen to be a  $\varphi_{uug}$ . The values of all phases  $\varphi_{uug}$  and  $\varphi_{ggu}$ , linearly semi-dependent on  $\varphi_1$ , are determined. Next,  $\varphi_2$  may be chosen to be a  $\varphi_{guu}$ . Then the values of all phases  $\varphi_{guu}$  and  $\varphi_{ugg}$ , linearly semi-dependent on  $\varphi_2$ , are determined. Finally the values of all phases  $\varphi_{ugu}$  and  $\varphi_{gug}$ , linearly semidependent on the pair  $\varphi_1, \varphi_2$ , are determined.

### 6.3. *Type*  $3P_2$

The phases which are the structure seminvariants are of the form  $\varphi_{ggg}$ ,  $\varphi_{uug}$ ,  $\varphi_{ugu}$  and  $\varphi_{guu}$ . The value of any phase  $\varphi_1$ , not of this form, may be specified arbitrarily. Once this is done, the values of all phases, of necessity linearly semi-dependent on  $\varphi_1$ , are determined. For example,  $\varphi_1$  may be chosen to be  $\varphi_{ggu}$ .

## 6.4. *Type 3P3*

The phases which are the structure seminvariants are of the form  $\varphi_{ggg}$ ,  $\varphi_{ggu}$ ,  $\varphi_{uug}$ , and  $\varphi_{uuu}$ . The value of any phase  $\varphi_1$ , not of this form, may be specified arbitrarily. Once this is done, the values of all phases,

of necessity linearly semi-dependent on  $\varphi_1$ , are determined. For example,  $\varphi_1$  may be chosen to be  $\varphi_{ugg}$ .

## 6.5. *Type 4P*

Every phase is a structure seminvariant and its value is determined by the observed intensities. The value of no phase may be specified arbitrarily. In this type, the choice of the functional form of the structure factor is equivalent to the unique selection of the origin.

### **7. Concluding remarks**

Monograph I (1953) and this paper present a detailed procedure for specifying the origin in any centrosymmetric space group. This has been done by demonstrating the existence of relationships between the observed intensities and values of the phases via the structure seminvariants. With the specific statement of the nature of these relationships, it is possible to go directly from observed intensities to the values of phases. It will be the aim of future publications to employ the formulas of our two recent papers (1958) to obtain specific procedures for phase determination for all the space groups.

#### **References**

- HAUPTMAN, H. & KARLE, J. (1953). *Solution of the Phase Problem. I. The Centrosymmetric Crystal.* A.C.A. Monograph No. 3. New York: Polycrystal Book Service.
- HAUPTMAN, H. & KARLE, J. (1956). *Acta Cryst.* 9, 45.
- HAUPTMAN, H. & KARLE, J. (1958). *Acta Cryst.* **11**, 149.
- *International Tables for X-ray Crystallography* (1952), vol. 1. Birmingham: Kynoch Press.

KARLE, J. & HAUPTMAN, H. (1958). *Acta Cryst.* **11**, 264.

*Aeta Cryst.* (1959). 12, 97

## **Structure Factor Calculations for some Helical Polypeptide Models**

BY DAVID R. DAVIES AND ALEXANDER RICH

*Section on Physical Chemistry, National Institute of Mental Health, Bethesda, Maryland, U.S.A.* 

*(Received* 16 *September* 1957)

Structure factors have been calculated for some helical polypeptide models, assuming random angular orientations of the molecules about the helical axis. The computations were carried out on IBM punched-card machines and a brief description is given of the method of computation.

#### **Introduction**

The theory of X-ray diffraction by helical molecules has been developed by Cochran, Crick & Vand (1952), who applied it to verify the presence of the  $\alpha$ -helix (Pauling, Corey & Branson, 1951) in the synthetic polypeptide,  $poly·\gamma$ -methyl-L- glutamate. Since then, helical structures have been proposed for a number of molecules, e.g. desoxyribose nucleic acid (Watson & Crick, 1953); collagen (Rich & Crick, 1955). Although many helical structures have been proposed with no more than a qualitative prediction of the calculated intensities, it is important to point out that a structure with satisfactory screw dimensions will not necessarily result in a correct distribution of layer-line

 $\overline{7}$